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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/677,021	09/29/2000	Jasbir S. Seehra	GI-5324 P1	1625
25291	7590	03/02/2004	EXAMINER SHAMEEM, GOLAM M	
WYETH PATENT LAW GROUP FIVE GIRALDA FARMS MADISON, NJ 07940			ART UNIT 1626	PAPER NUMBER

DATE MAILED: 03/02/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/677,021	SEEHRA ET AL.	
	Examiner	Art Unit	
	Golam M M Shameem	1626	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 1/20/04.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) 16-19 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) _____ is/are rejected.
- 7) ☒ Claim(s) 1-15, and 20 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 13) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Priority

This application is a continuation of 09/256,413 02/24/1999 which claims benefit for domestic priority (to a provisional application 60/100,426 02/25/1998) under 35 U.S.C. §§ 120 and /or 121 is acknowledged.

Information Disclosure Statement

Receipt is acknowledged of Information Disclosure Statement (IDS), filed on 12/18/00, which has been entered in the file.

Status of Claims

Claims 1-20 are pending in the application. Receipt is acknowledged of amendment / response filed on January 20, 2004 and that has been entered.

Claims 16-19 are withdrawn from further consideration pursuant to 37 C.F.R. 1.142 (b) as being drawn to a non-elected subject matter.

Response to Election/Restriction

In response to the restriction requirement, Applicants have elected Group I, which includes claims 1-15 and 20 drawn to a compound and composition, and the elected compound as set forth on page 2 (Remarks) with traverse is acknowledged. The traversal is on the ground(s) that all the pending claims should be rejoined and examined with the elected Group I because "it is not a burden on anyone to have all of claims 1-20 appear in the same patent" (Remarks, page 3) and therefore a search and examination of the entire Markush group should be made without serious burden.

The Examiner respectfully disagrees with the Applicants because the products of groups I-II differ materially in structure and in element from each other and therefore, are capable of supporting their own patents. Applicants elected compound contains a core indole ring, which is further substituted by the non-heterocyclic phenyl groups (R_4), wherein in claim 1, for example, R_4 is selected from the group consisting of pyridinyl (546 class), furyl, thienyl (549 class), pyrrolyl (548 class), and other art-recognized diverse group.

The invention groups I-II are related to a set of structurally diverse compounds, and their methods of use (chemical structures, which are similar, are presumed to function similarly, whereas chemical structures that are not similar are not presumed to function similarly), which do not possess a substantial common core wherein a reference anticipating one would not necessarily render the other obvious and to search all the above groups in a single application would be an undue burden on the Examiner. Because of the plethora of classes and subclasses in each of the Group, a separate search considerations are involved, which would impose a serious burden on the Examiner to perform a complete search of the defined areas if unrestricted. Also the fields of search are not coextensive. The wide disparity among the groups requires that many divergent fields must be searched, including all classes and subclasses of U.S. and foreign patents as well as journals and publications. Examiner understands that shortening the patent term that Applicants will have for the divisional application due to restriction and /or long prosecution history of this particular case. Since this case is a RCE application, a continued prosecution and examination of relevant art, reanalysis of claims and therefore, restriction practice is not uncommon. However, Examiner may reconsider to rejoin method of use claims commensurate in scope with the product claims when the case would be found in condition for

allowance [provided those method claims are free from 35 U.S.C. §112 first (including written description, reach-through claim language and/or scope-enablement issues) and second paragraphs]. For these reasons, Applicant's arguments are found unpersuasive and, therefore, the requirement for restriction and election of species is still deemed proper.

Applicants preserve their right to file a divisional on the non-elected subject matter.

As set forth in the restriction requirement and an election of a single compound (or set of compounds), the invention will encompass all compounds that fall within the scope of the claim is as follows:

A compound having the formula in claim 1 wherein:

R_1 and R_1' are as claimed,

R_6 is as claimed,

R_7 is as claimed except "or morpholinyl",

R_2 is as claimed,

R_3 is selected from H, $-\text{CF}_3$, $\text{C}_1\text{-C}_6$ lower alkyl, $\text{C}_1\text{-C}_6$ lower alkoxy, $\text{C}_3\text{-C}_{10}$ cycloalkyl, $\text{C}_1\text{-C}_6$ alkyl, $-\text{CHO}$, halogen, $(\text{CH}_2)_n\text{C}(\text{O})\text{NH}_2$,

R_4 is selected from the group of $\text{C}_1\text{-C}_6$ lower alkyl, $\text{C}_1\text{-C}_6$ lower alkoxy, $-(\text{CH}_2)_n\text{-C}_3\text{-C}_6$ cycloalkyl, $-(\text{CH}_2)_n\text{-S-}(\text{CH}_2)_n\text{-C}_3\text{-C}_6$ cycloalkyl, $-(\text{CH}_2)_n\text{-O-}(\text{CH}_2)_n\text{-C}_3\text{-C}_6$ cycloalkyl, n is as claimed,

R_5 is as defined (other variables such as L^1M^1 , and Y etc do not apply as they are beyond the scope of elected compound and treated as non-elected inventions).

As a result of the election and the corresponding scope of the compound identified, claims 16-19 and the remaining subject matter of claims 1-5 and 20 are withdrawn from further

consideration pursuant to 37 CFR 1.142 (b) as being drawn to non-elected inventions. The withdrawn subject matter of claims 16-19 is properly restricted as it differs materially in structure and in element from the elected subject matter supra so as to be patentably distinct there from.

Objections

Claims 1-5 and 20 are objected to for containing non-elected subject matter. The claims should be amended to exclude non-elected subject matter and within the scope of elected compound.

Telephone Inquiry

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Golam Shameem, Ph.D. whose telephone number is (571) 272-0706. The examiner can normally be reached on Monday-Thursday from 6:30 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph McKane, can be reached at (571) 272-0699. The Unofficial fax phone number for this Group is (703) 308-7921. The Official fax phone numbers for this Group are (703) 308-4556 or 305-3592.

When filing a FAX in Technology Center 1600, please indicate in the Header (upper right) "Official" for papers that are to be entered into the file, and "Unofficial" for draft documents and other communications with the PTO that are not for entry into the file of the application. This will expedite processing of your papers.

Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be

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addressed to [joseph.mcKane@uspto.gov]. All Internet e-mail communications will be made of record in the application file. PTO employees will not communicate with applicant via Internet e-mail where sensitive data will be exchanged or where there exists a possibility that sensitive data could be identified unless there is of record an express waiver of the confidentiality requirements under 35 U.S.C. 122 by the applicant. See the Interim Internet Usage Policy published by the Patent and Trademark Office Official Gazette on February 25, 1997 at 1195 OG 89.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist, whose telephone number is (703) 308-1235.

A handwritten signature in black ink, appearing to read "Golam M M Shameem". The signature is stylized with a large, looped initial "G" and a cursive script for the rest of the name.

Golam M M Shameem, Ph.D.
Patent Examiner
Art Unit 1626, Group 1600
Technology Center 1

February 26, 2004